

## Annexure B

## Complaint data

Format for investors complaints data (to be disclosed monthly):

Data for the month ending: - 30th Nov 2025

| Sr<br>No. | Received<br>from        | Pending at<br>the end of<br>last month | Received | Resolved *        | Total<br>Pending # | Pending<br>complaints > 3<br>months | Average<br>Resolution<br>time^ (in<br>days) |
|-----------|-------------------------|--|----------|-------------------|--------------------|-------------------------------------|---|
| I         | Directly from Investors | Nil                                    | Nil      | Not<br>applicable | Nil                | Nil                                 | Not applicable                              |
| 2         | SEBI (SCORES)           | Nil                                    | Nil      | Not<br>applicable | Nil                | Nil                                 | Not applicable                              |
| 3         | Other Sources (if any)  | Nil                                    | Nil      | Not<br>applicable | Nil                | Nil                                 | Not applicable                              |
|           | Grand Total             | Nil                                    | Nil      | Not<br>applicable | Nil                | Nil                                 | Not applicable                              |

Number of complaints received during the month against Prisha Wealth Management Private Limited (IA) due to impersonation by some other entity:

Note: In case of any complaints received against IA Limited due to impersonation of IA by some other entity, the IA has adjusted the number of such complaints from the total number of received/resolved complaints while preparing the above table. Further, IA has closed such impersonation related complaints after following the due process as specified by SEBI/ IAASB.

- \* Inclusive of complaints of previous months resolved in the current month
- # Inclusive of complaints pending as on the last day of the month
- ^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month





## Trend of monthly disposal of complaints

| Sr<br>No. | Month                            | Carried<br>forward<br>from<br>previous<br>month | Received | Resolved<br>* | Pending<br># |
|-----------|----------------------------------|---|----------|---------------|--------------|
| I         | Ist April 2024 to 31st Mar, 2025 | Nil   | Nil      | Nil           | Nil          |
| 2         | Ist April 2025 to 31st Oct, 2025 | Nil   | Nil      | Nil           | Nil          |
| 3         | Ist Nov 2025 to 30th Nov, 2025   | Nil   | Nil      | Nil           | Nil          |
|           | Grand Total                      | Nil   | Nil      | Nil           | Nil          |

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month

## Trend of annual disposal of complaints

| Sr<br>No. | Year                             | Carried<br>forward<br>from<br>previous<br>year | Received       | Resolved<br>*  | Pending<br>#   |
|-----------|----------------------------------|--|----------------|----------------|----------------|
| I         | Ist April 2023- 31st Mar, 2024   | Not<br>applicable                              | Not applicable | Not applicable | Not applicable |
| 2         | Ist April 2024 to 31st Mar, 2025 | Nil  | Nil            | Nil            | Nil            |
| 3         | Ist April 2025 to 31st Oct, 2025 | Nil  | Nil            | Nil            | Nil            |
| 4         | Ist Nov 2025 to 30th Nov, 2025   | Nil  | Nil            | Nil            | Nil            |
|           | Grand Total                      | Nil  | Nil            | Nil            | Nil            |

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current year

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<sup>#</sup> Inclusive of complaints pending as on the last day of the month

<sup>#</sup> Inclusive of complaints pending as on the last day of the year